

# AQC MIDDLE EAST LLC

F08 Issue 01  
Rev 06 (15.02.2021)

<b>Standard</b>	ISO 27001:2013
<b>Type Of Audit</b>	surveillance
<b>Name of the Company</b>	Numbers Co., Ltd.
<b>Address of the company</b>	7F-1, No. 429, Guangfu S. Rd., Xinyi District, Taipei City, Taiwan
<b>Site Address, If any</b>	
<b>No. of Employees</b>	8
<b>No. of Users</b>	
<b>No. of Server</b>	
<b>No. of Work Stations</b>	8
<b>No. of Application Development and Maintenance staff</b>	2
<b>Contact Person Detail</b>	Bofu Chen
<b>Scope</b>	The provision of information security management of the whole company.
<b>Exclusion if any</b>	
<b>Technical Area</b>	
<b>Complexity</b>	L
<b>Any Other Information</b>	

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## Changes since Last Audit

<b>Name of Organization</b>	Numbers Co., Ltd.
<b>Changes in Scope</b>	No
<b>Changes in No. of Employees</b>	Add 1 new employee
<b>Changes in Technical Area</b>	No
<b>Changes in Shift</b>	No
<b>Changes in No. of Sites</b>	No
<b>Changes in Legal &amp; Statutory Requirements</b>	No
<b>Status of the Previous audit finding</b>	closed
<b>Verification of auditor and recommendation to increase/decrease number of mandays</b>	Maintain surveillance audit 1MD/year

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## SUMMARY OF SURVEILLANCE AUDIT FINDINGS :

<b>Area of Improvement</b>	OFI-01 The company has followed the established procedures for the implementation process. May discuss which execution records are retained, such as internal audits, BCP drill records, computer checks, etc.		
<b>Non Conformities</b>	<b>Type</b>	<b>No.</b>	<b>Description</b>
	Major	0	
	Minor	0	

This report details the outcome of our SURVEILLANCE audit of your Information Security management system to determine the degree of compliance with your own Information Security management system documentation and the requirements of the ISO 27001:2013 standard. The Surveillance audit was conducted in accordance with AQC' standard operating procedures.

The reporting format follows the selected Information Security management system standard, clause by clause, and findings are reported as appropriate. Activities that are not in compliance with your own documentation or the ISO standard are reported on our NON-CONFORMANCE REPORTS (NCR'S) or OPPORTUNITY FOR IMPROVEMENT forms

**A NON-CONFORMANCE REPORT** is a non-compliance of a serious nature, one that may have a significant impact on the quality of the services provided by your company, and/ or relate to multiple non-complying activities. NCR's must be responded to, corrected and formally closed-out before Surveillance and registration can proceed. Many NON CONFORMANCE REPORTS can be closed-out by our review of revised documentation and therefore, you should submit copies of such documentation with your response. If follow-up visits are required for close-out purposes, then we will contact you to arrange a mutually convenient time.

**OPPORTUNITY FOR IMPROVEMENT** forms address areas which are not considered to have a serious impact on the quality of the services provided by your company and normally relate to isolated non-complying activities. They may also point out areas where initiative can be taken to improve sections of your Information Security management system. It is not mandatory to respond to OFI's. However, they are taken into consideration at the next surveillance visit, since an opportunity for improvement may be preventative measure or part of the continuous improvements process.

### Overall Conclusions/ Recommendations:

<b>Recommendation:</b>	<b>The certificate remains effectiveness.</b>
<b>Surveillance Frequency:</b>	It is recommended that surveillance frequency once per year.

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## Attendance Sheet

Organization : Numbers Co., Ltd.

Ref. No. : ISO 27001:2013

Date of Audit :				Verification		Remarks
No.	Name	Title (Position)	Department	Opening Meeting	Closing Meeting	
1.	Bofu Chen			X	X	
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
11.						
12.						
13.						