

9/11/2022

TO: Bank Sygnum

**RE: Transaction Restrictions on engagement with James Asset (PTC) Limited**

Dear Sirs,

As per the MakerDAO Resolution attached, we hereby request that the following restrictions in the banking arrangement are enforced by Bank Sygnum.

These restrictions can only be altered by a new transaction restriction letter from James Asset PTC Limited, accompanied by a MakerDAO Resolution.

**RESTRICTIONS PER 9/11/2022**

**Outbound Crypto Asset Transactions**

Only crypto transactions to the following Eth addresses are allowed:

<b>Allowed Eth Address</b>	<b>Owner of Address</b>
0xc8bb4e2B249703640e89265e2Ae7c9D5eA2aF742	MakerDAO - RWA007_A_INPUT_CONDUIT_ JAR
0x58f5e979eF74b60a9e5F955553ab8e0e65ba89c9	MakerDAO - RWA007_A_INPUT_CONDUIT

**Outbound Currency Transactions**

Only transactions to the following parties and IBAN are allowed. Any transactions above USD 20,000.00 must be evidenced via email by signed agreement.

<b>Party</b>	<b>IBAN/Account Number</b>
Monetalis Services Limited	Will be announced in separate email from Reporting Agent
SHRM Trustees BVi Limited	IBAN: VG20FCIB0000002345134502

**Asset Purchase Restrictions**

Only the following securities, up to the following limits, may be purchased and/or liquidated. Any such purchase/liquidation requests must be accompanied with a matching MakerDAO Resolution

- IE00BGSF1X88 iShares \$ Treasury 0-1 yr UCITS ETF

- IE00BYXPSP02 iShares \$ Treasury Bond 1-3 yr UCITS ETF

**Transaction/Purchase Approval Requirements**

The above outbound currency, crypto asset transactions and asset purchases must only be executed given Bank Sygnum has received an approval of the transaction/purchase from one person from each of the two following two user groups:

**User Group I: Riverland Capital**

<b>Name</b>	<b>Email</b>
Robert Reid THURLOW	reid@shrm.com.hk
Aliza Desarice TYSON	Aliza.Tyson@shrmbvi.com
Jovan van HEERDEN	jovan.vanheerden@shrmbvi.com
Brittney FAHIE	brittney.fahie@shrmbvi.com

**User Group II: Fiduciary Group**

<b>Name</b>	<b>Email</b>
Calum McKenzie	calum.mckenzie@hatstone.com
Daniel Cann	daniel.cann@hatstone.com
Sean Palmer	sean.palmer@hatstone.com
Shawn Saunders	shawn.saunders@hatstone.com

Sincerely,

Reid Thurlow  
 Riverfront Capital  
 On behalf of James Asset PTC Limited

Accepted:

Bank Sygnum